

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Sean J. Egan) Confirmation No.: 5752
)
Application No.: 10/010,102) Group Art Unit: 3694
)
Filed: November 8, 2001) Examiner: Daniel L. Greene
)
For: SYSTEM AND METHOD FOR ASSIGNMENT)
RATINGS TO MUTUAL FUNDS AND OTHER)
INVESTMENT FUNDS)

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Window, Mail Stop RCE
Alexandria, VA 22314

Sir:

REQUEST FOR CONTINUED EXAMINATION TRANSMITTAL

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

1. Submission required under 37 C.F.R. § 1.114

- ☐ Previously submitted:
- ☐ Please consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____. (Any unentered amendment(s) and related materials previously filed on the date referred to above will be entered).
- ☐ Please consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- ☒ Enclosed:
- ☒ Amendment
- ☐ Declaration Under 37 C.F.R. § 1.132
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449

2. Miscellaneous

- ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required).
- ☐ Change of Correspondence Address
- ☐ Submission of Revocation of Change of Power of Attorney and Grant of New Power of Attorney

3. Fees Calculation

(a) RCE Fee required under 37 C.F.R. § 1.17(e)

- ☐ \$810.00
- ☒ \$405.00 (for small entity)

(b) Additional Claims

CLAIMS CURRENTLY PENDING						
	Claims Currently Pending		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	5	minus	22	0	x \$52 each =	+ \$0.00
Independent Claims (37 C.F.R. §1.16(b))	2	minus	3	0	x \$220 each =	+ \$0.00
[] First presentation of Multiple dependent claim(s)					\$390.00	+ \$0.00
SUB-TOTAL =						\$0.00
Reduction by ½ for filing by a small entity						\$0.00
TOTAL FEE =						\$0.00

(c) Extension of Time

- ☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below.

Total Months Requested	Fee for Extension	Fee for Small Entity
<input type="checkbox"/> one month	\$ 130.00	\$ 65.00
<input type="checkbox"/> two months	\$ 490.00	\$245.00
<input type="checkbox"/> three months	\$ 1110.00	\$555.00
<input type="checkbox"/> four months	\$1,730.00	\$865.00

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a
Petition therefor.

4. Fee Payment

- ☒ The RCE fee (\$405.00) is included herein.
- ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.**
- ☒ **EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0573. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

DRINKER, BIDDLE & REATH LLP

Dated: June 5, 2009

By: 

Joseph J. Buczynski
Registration No. 35,084

Customer No. 055694
DRINKER, BIDDLE & REATH LLP
1500 K Street, N.W., Suite 1100
Washington, D.C. 20005-1209
Tel: (202) 842-8800
Fax: (202) 842-8465